



Contents

Introduction to the series vii

Geoffrey Yeo

Contributors xi

Introduction xvii

List of abbreviations xxxi

1 Global financial markets 1

Victoria L. Lemieux, David Long and David Kemp

Part 1: Regulatory and legal compliance 15

2 Conflicts of laws in multiple jurisdictions 17

Ed Sautter

3 Impact of the extrajurisdictional reach of the USA 33

Ed Sautter

4 Moves towards a common regulatory framework for financial services in the European Union 41

Jonathan Herbst and Simon Lovegrove



5 Data exchange and confidentiality: an Asia Pacific perspective 61
Julian Cunningham-Day and Marly Didizian

6 Information privacy in the USA 77
Regan Adams

Part 2: Balancing risk and return 89

7 Managing records risks in global financial institutions 91
Victoria L. Lemieux and Ember D. Krumwied

8 The digital revolution and its impact 107
P. J. Di Giammarino

9 Mergers, acquisitions, divestitures and insolvencies 119
John Ramsey

**10 Records management considerations in global
strategic outsourcing 133**
Victoria L. Lemieux

Part 3: Litigation-related issues 147

**11 Authenticity and evidential weight of digital evidence in legal
proceedings 149**
Stephen Mason

12 Discovery and records management 165
Christine Ardern

Part 4: Record-keeping approaches 179

**13 Establishing a global policy framework for the
management of records 181**
Rod Stone

14 Embedding records management in the business 199
Judith Ellis

**15 Corporate memory: the development and maintenance of an archival
service 217**
Tina Staples

Index 229